

**PATENT COOPERATION TREATY**

**PCT**

**INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>18-1266WO01</b>		<b>FOR FURTHER ACTION</b> see Form PCT/ISA/220 as well as, where applicable, item 5 below.
International application No. <b>PCT/US2020/055876</b>	International filing date ( <i>day/month/year</i> ) <b>16 October 2020</b>	(Earliest) Priority Date ( <i>day/month/year</i> ) <b>25 October 2019</b>
Applicant <b>CATERPILLAR INC.</b>		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 4 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of:

the international application in the language in which it was filed.

a translation of the international application into \_\_\_\_\_ which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).

b.  This international search report has been established taking into account the **rectification of an obvious mistake** authorized by or notified to this Authority under Rule 91 (Rule 43.6bis(a)).

c.  With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I.

2.  **Certain claims were found unsearchable** (see Box No. II).

3.  **Unity of invention is lacking** (see Box No. III).

4. With regard to the **title**,

the text is approved as submitted by the applicant.

the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

the text is approved as submitted by the applicant.

the text has been established, according to Rule 38.2, by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. With regard to the **drawings**,

a. the figure of the **drawings** to be published with the abstract is Figure No. 5

as suggested by the applicant.

as selected by this Authority, because the applicant failed to suggest a figure.

as selected by this Authority, because this figure better characterizes the invention.

b.  none of the figures is to be published with the abstract.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2020/055876

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> <b>B60P 1/28(2006.01)i; B62D 33/00(2006.01)i</b>		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) B60P 1/28(2006.01); B60P 1/04(2006.01); B60P 1/16(2006.01)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models Japanese utility models and applications for utility models		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: haul truck, support rocker, pivot, frame, rocker body, pivot bore, rocker support insert, vertical support structure, concave, rotation limit mechanism, fasteners, support padding, support plate		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2019-110019 A1 (INNER MONGOLIA NORTH HAULER JOINT STOCK CO., LTD. et al.) 13 June 2019 (2019-06-13) page 3, line 8 - page 4, line 3 and figures 1-4	1,3-6,8-10
Y		2,7
Y	CN 202863223 U (SANY ORE MACHINE CO., LTD.) 10 April 2013 (2013-04-10) paragraph [0024] and figures 1-5	2,7
A	JP 62-025234 U (KOMATSU MFC. CO., LTD.) 16 February 1987 (1987-02-16) claim 1 and figures 1-6	1-10
A	CN 203637645 U (AEROSPACE HEAVY ENGINEERING EQUIPMENT CO., LTD.) 11 June 2014 (2014-06-11) claims 1-3 and figure 1	1-10
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "D" document cited by the applicant in the international application "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search <b>29 January 2021</b>		Date of mailing of the international search report <b>29 January 2021</b>
Name and mailing address of the ISA/KR <b>Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon 35208, Republic of Korea</b> Facsimile No. +82-42-481-8578		Authorized officer <b>BAHNG, Seung Hoon</b> Telephone No. +82-42-481-5560

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International application No.

**PCT/US2020/055876**

<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CN 204383270 U (UNIV. XIANGTAN) 10 June 2015 (2015-06-10) abstract and figures 1-4	1-10
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**INTERNATIONAL SEARCH REPORT**  
**Information on patent family members**

International application No.

**PCT/US2020/055876**

Patent document cited in search report			Publication date (day/month/year)	Patent family member(s)			Publication date (day/month/year)
WO	2019-110019	A1	13 June 2019	CN	108146315	A	12 June 2018
CN	202863223	U	10 April 2013	None			
JP	62-025234	U	16 February 1987	JP	02-007072	Y2	20 February 1990
CN	203637645	U	11 June 2014	None			
CN	204383270	U	10 June 2015	None			